

Attorney's Docket No. 5489P004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Kim et al.

Application No.: 09/944,283

Filed: August 20, 2001

For: OPTICAL FIBER CLADDING WITH
LOW POLARIZATION DEPENDENCE
AND AN ACOUSTO-OPTIC TUNABLE
FILTER WITH LOW POLARIZATION
DEPENDENT LOSS ACHIEVED
THROUGH THERMAL ANNEALING

Examiner: Healy, Brian

Art Unit: 2389

I hereby certify that this correspondence is being deposited
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DMcGovern Name of Person Mailing Correspondence
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Commissioner for Patents
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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together
with copies of the documents cited on that form. It is respectfully requested that the
cited documents be considered and that the enclosed copy of Information Disclosure
Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and
a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure
Statement is not to be construed as a representation that a search has been made and is
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01/21/2004 AWONDAF1 00000039 09944283

01 FC:1806 180.00 OP
Application No.: 09/944,283

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e) or

X A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

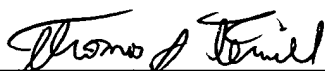
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

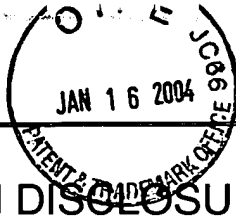
Dated: 1-13, 2004



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Substitut f r Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/944,283
Filing Date	August 20, 2001
First Named Inventor:	Hyo-Sang Kim
Art Unit	2389
Examiner Name	Brian Healy
Attorney Docket Number	5489.P004

She t 1 Of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-	4,298,245	11-03-1981	Aulich et al.	
		US-	4,915,468	04-10-1990	Kim et al.	
		US-	5,506,925	04-09-1996	Greene et al.	
		US-	5,953,121	09-14-1999	Bohnert et al.	
		US-	6,021,237	02-01-2000	Kim et al.	
		US-	6,266,462 B1	07-24-2001	Kim et al.	
		US-	6,532,323 B2	03-11-2003	Kim et al.	
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Signature

Date Considered

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STATEMENT BY APPLICANT**

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Application Number	09/944,283
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First Named Inventor:	Hyo-Sang Kim
Art Unit	2389
Examiner Name	Brian Healy
Attorney Docket Number	5489.P004

Sheet

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of

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		PCT/US 03/01245 International Search Report mailed on 5/26/03.	
	✓	"Production of Single-Mode Fibres with Negligible Intrinsic Birefringence and Polarisation Mode Dispersion", Electronics Letters, IEE Stevenage, GB, Vol. 17, No. 20, 1 October 1981 (1981-10-01) pages 725-726, XP000676219 ISSN: 0013-5194.	
	✓	KYUNGHWAN OH et al., "Polarization dependent dispersion characteristics of high order modes in a cylindrical dual mode fiber with an arbitrary index profile", Optics Communication, Vol. 159, 1 January 1999 pages 139-148, XP004152383.	
		SEOK HYUN YUN et al. "Suppression of Polarization Dependence in a Two-Mode-Fiber Acousto-Optic Device", Optics Letters/Vol. 21, No. 12/ June 15, 1996, 1996 Optical Society of America, pp. 908-910.	
		SEOK HYUN (ANDY) YUN, "All-Fiber Acousto-Optic Devices and Their Applications to Fiber Lasers", Thesis, Department of Physics, Korea Advanced Institute of Science and Technology, June 13, 1997, pp. whole document.	

Examiner Signature	Date Considered
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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